

Jim Doyle
Governor

Celia M. Jackson
Secretary

**WISCONSIN DEPARTMENT OF
REGULATION & LICENSING**



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ACCOUNTING EXAMINING BOARD SCREENING PANEL

Room 179A, 1400 East Washington Avenue, Madison, WI

Contact: Dennie Petersen (608) 267-5224

Thursday, July 28, 2005

8:30 A.M.

1. Call Open Session to Order
2. Convene to Closed Session to consider discipline (s. 19.85(1)(b), Stats., and to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.
 - a. Screen complaints received from the public against credential holders. The Panel consists of three Board members and Department staff
3. Reconvene to Open Session
4. Vote on Items Considered or Deliberated Upon In Closed Session
5. Adjournment

ACCOUNTING EXAMINING BOARD MEETING

ROOM 179A, 1400 EAST WASHINGTON AVENUE, MADISON WI

Contact: Kimberly Nania (608) 261-2393

THURSDAY, JULY 28, 2005

9:00 A.M.

1. CALL TO ORDER
 - a. Declaration of Quorum
2. Adoption of Agenda
3. Approval of Minutes (May 5, 2005)
4. Administrative Report
 - a. Kimberly Nania, Division Administrator
 - i. Secretary Celia Jackson
 - ii. 2006 Meeting Dates
 - iii. Summary Reports on Pending Court Cases, Disciplinary Cases and Administrative Rules and Press Releases
5. Presentation of Proposed Stipulations by Division of Enforcement or any that may be Submitted after Printing of Agenda
6. Legislative/Administrative Rules
 - a. UAA Update
 - i. Section 23 Discussion
7. Peer Review
 - a. Discussion of PCAOB Confidentiality Agreement
8. Education/Examination/ Application Issues
 - a. Request for Review of Accounting Exam – Darwin Tichenor
9. Credentialing
 - a. Review New Application Form #2348 for Renewal of Firm License
 - b. Review New Application Forms for Accounting Firm License
10. NASBA
 - a. NASBA 2005 Eastern Regional Meeting June 8-10, 2005, Baltimore, MD – Report by Tom Kilkenny
11. AICPA
 - a. AICPA Professional Ethics Executive Committee (PEEC)
 - i. PEEC's Proposed Revisions to the AICPA Code of Professional Conduct (Code)
12. Board Member Activity

13. Visitors Comments
14. CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.; consider closing disciplinary investigation with administrative warning (s. 19.85(1)(b), Stats. and 440.205, Stats., to consider individual histories or disciplinary data (s. 19.85 (1)(f), Stats.; and, to confer with legal counsel (s. 19.85(1)(g), Stats.)
 - a. Monitoring Report or any submitted after printing of Agenda
 - b. Case Closings and Case Status Report or any submitted after printing of agenda
 - c. Deliberation on Stipulations, Administrative Warnings, Proposed Decisions, and/or Disciplinary Actions or any signed after printing of agenda
 - d. Petitions for Rehearing Received after the mailing of agenda
 - d. Pending Applications or any submitted after printing of agenda
 - e. Reinstatement Requests or any submitted after printing of agenda
 - f. Examination Issues
 - g. Consulting with Legal Counsel
15. RECONVENE TO OPEN SESSION
16. Vote on Items Considered or Deliberated Upon in Closed Session, If Voting is Appropriate
17. New Business
18. Such Other Matters as Defined by Law
- DOE – Signatures for Proposed Stipulations, Orders, and Administrative Warnings
19. Next Meeting Agenda Items
20. ADJOURNMENT

MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC UNLESS OTHERWISE NOTED. IF A BOARD ADJOURNS TO CLOSED SESSION, RECONVENES IN OPEN SESSION TO VOTE ON ITEMS CONSIDERED IN CLOSED SESSION, AND DURING VOTING DETERMINES THAT ADDITIONAL DELIBERATIONS ARE NECESSARY FOR VOTING, THE BOARD MAY ADJOURN TO CLOSED SESSION TO CONTINUE DELIBERATIONS AND THEN RECONVENE INTO OPEN SESSION FOR VOTING.

Meetings and hearings may be cancelled without notice. Times listed for meeting items are approximate and depend on the length of discussion and voting. The board may also consider in closed session, stipulations filed after the printing of this notice. Meetings may be confirmed by a telephone call to the listed contact person. Examinations are open only to authorized candidates. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. All meetings are held at 1400 East Washington Avenue, Madison, Wisconsin, unless otherwise noted. For a complete copy of the board's agenda, contact the board listed above. Interpreters for the hearing impaired provided on request. Contact the Affirmative Action Officer, (608) 266-2112.